



PATENT
Docket No. 509132000100

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Nora Durant

Nora Durant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Ira G. SCHULMAN, et al.

Serial No.: 09/982,544

Filing Date: October 17, 2001

For: METHODS FOR AFFECTING
VARIOUS DISEASES UTILIZING LXR
COMPOUNDS

Examiner: C. Kam

Group Art Unit: 1653

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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 509132000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 11, 2003

Respectfully submitted,

By: Karen B. Dow
Karen B. Dow
Registration No. 29,684

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-7960
Facsimile: (858) 720-5125

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PTO/SB/08 (2-92)

Sheet 1 of 2

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 509132000100

Application Number 09/982,544

Applicant

Ira G. SCHULMAN, et al.

Filing Date October 17, 2001

Group Art Unit 1653

Mailing Date June 11, 2003

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/1996	5,571,696	Evans et al.	435	69.1	
	2.	03/1997	5,607,967	Friedman et al.	514	461	
	3.	06/1997	5,639,616	Liao et al.	435	7.1	
	4.	10/1997	5,679,518	Friedman et al.	435	6	
	5.	12/1997	5,696,233	Evans et al.	530	350	
	6.	01/1998	5,710,004	Evans et al.	435	6	
	7.	02/1999	5,869,284	Cao et al.	435	69.1	
	8.	08/1999	5,939,322	Rodan et al.	435	365	
	9.	02/2001	6,184,215	Elias et al.	514	182	
	10.	08/2001	6,277,976	Enmark et al.	536	23.5	
	11.	06/2002	US 2002/0072073	Medina et al.	435	7.1	
	12.	08/2002	US 2002/0116731	Allen et al.	800	18	

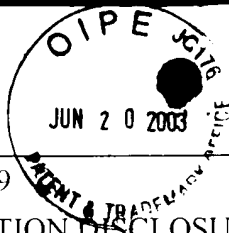
FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	13.	05/1995	WO 95/13373	WIPO			
	14.	02/1996	WO 96/05300	WIPO			
	15.	07/1996	WO 96/21726	WIPO			
	16.	07/1998	WO 98/32444	WIPO			
	17.	06/2000	WO 00/34461	WIPO			
	18.	06/2000	WO 00/37077	WIPO			
	19.	11/2000	WO 00/66611	WIPO			
	20.	12/2000	WO 00/78972	WIPO			
	21.	08/2001	WO 01/60818	WIPO			
	22.	02/2002	WO 02/11708	WIPO			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 509132000100	Application Number 09/982,544
	Applicant Ira G. SCHULMAN, et al.	
	Filing Date October 17, 2001	Group Art Unit 1653
	Mailing Date June 6, 2003	

	23.	03/2002	WO 02/24632	WIPO				
	24.	07/2002	WO 02/55657	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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